

Name of the Company : AXIS CAPITAL MARKETS (I) LTD

Script Code,Name of the scrip, class of security :

Quarter ended : 31/12/2011

Partly paid-up shares :-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/ promoter group		0.00	0.00
Held by public		0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/ promoter group		0.00	0.00
Held by public		0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Warrants	No. of Warrants	As a % of total no.of Warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/ promoter group		0.00	0.00
Held by public		0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants convertible securities Total (A+B+C)+ D+E+F)</b>	<b>5803200.00</b>		<b>100.00</b>

□

**Statement Showing Shareholding Pattern**

Category Code	Category of Shareholder	Number of Shareholders	Total Number Of Shares	Number of Shares held in Dematerialised form	Total Shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a Percentage of (A+B)	As a Percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV) *100

**(A) Shareholding of Promoter and Promoter Group2**

<b>1. INDIAN</b>								
(a)	INDIVIDUAL / HUF	9	4166400	4166400	71.7949	71.7949	0	0.00
(i)	DIRECTORS / RELATIVES	0	0	0	0.0000	0.0000	0	0.00
(b)	CENTRAL / STATE GOVERNMENT(S)	0	0	0	0.0000	0.0000	0	0.00
(c)	BODIES CORPORATE	0	0	0	0.0000	0.0000	0	0.00
(d)	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0.0000	0.0000	0	0.00
(e)	GROUP COMPANIES	0	0	0	0.0000	0.0000	0	0.00
(f)	ANY OTHERS(Specify)	0	0	0	0.0000	0.0000	0	0.00
<b>SUB TOTAL (A)(1) :</b>		<b>9</b>	<b>4166400</b>	<b>4166400</b>	<b>71.7949</b>	<b>71.7949</b>	<b>0</b>	<b>0.00</b>
<b>2. FOREIGN</b>								
(a)	BODIES CORPORATE	0	0	0	0.0000	0.0000	0	0.00
(b)	GROUP COMPANIES	0	0	0	0.0000	0.0000	0	0.00
(c)	INDIVIDUAL	0	0	0	0.0000	0.0000	0	0.00
(d)	INSTITUTIONS	0	0	0	0.0000	0.0000	0	0.00
(e)	ANY OTHERS(Specify)	0	0	0	0.0000	0.0000	0	0.00
<b>SUB TOTAL (A)(2) :</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0.0000</b>	<b>0</b>	<b>0.00</b>
<b>Total holding for promoters (A)=(A)(1) + (A)(2)</b>		<b>9</b>	<b>4166400</b>	<b>4166400</b>	<b>71.7949</b>	<b>71.7949</b>	<b>0</b>	<b>0.00</b>

**(B) Public shareholding**

<b>1. INSTITUTIONS</b>								
(a)	CENTRAL / STATE GOVERNMENT(S)	0	0	0	0.0000	0.0000	0	0.00
(b)	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0.0000	0.0000	0	0.00
(c)	MUTUAL FUNDS / UTI	0	0	0	0.0000	0.0000	0	0.00
(d)	VENTURE CAPITAL FUNDS	0	0	0	0.0000	0.0000	0	0.00
(e)	INSURANCE COMPANIES	0	0	0	0.0000	0.0000	0	0.00
(f)	FIIS	0	0	0	0.0000	0.0000	0	0.00
(g)	FOREIGN VENTURE CAPITAL	0	0	0	0.0000	0.0000	0	0.00
(h)	TRUSTS	0	0	0	0.0000	0.0000	0	0.00
(i)	ANY OTHERS(Specify)	0	0	0	0.0000	0.0000	0	0.00

**Statement Showing Shareholding Pattern**

Category Code	Category of Shareholder	Number of Shareholders	Total Number Of Shares	Number of Shares held in Dematerialised form	Total Shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a Percentage of (A+B)	As a Percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV) *100
<b>SUB TOTAL (B)(1) :</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0.0000</b>	<b>0</b>	<b>0.00</b>
<b>B 2. Non-institutions</b>								
(a)	BODIES CORPORATE	56	499720	499620	8.6111	8.6111	0	0.00
(b)	INDIVIDUAL							
(i)	(CAPITAL UPTO TO Rs. 1 Lakh)	1518	376796	308275	6.4929	6.4929	0	0.00
(ii)	(CAPITAL GREATER THAN Rs. 1 Lakh)	6	759459	759459	13.0869	13.0869	0	0.00
(c)	TRUSTS	0	0	0	0.0000	0.0000	0	0.00
(d)	ANY OTHERS							
(i)	CLEARING MEMBER	1	15	15	0.0003	0.0003	0	0.00
(ii)	DIRECTORS / RELATIVES	0	0	0	0.0000	0.0000	0	0.00
(iii)	EMPLOYEE	0	0	0	0.0000	0.0000	0	0.00
(iv)	FOREIGN NATIONALS	0	0	0	0.0000	0.0000	0	0.00
(a)	NON RESIDENT INDIANS (NRI)	0	0	0	0.0000	0.0000	0	0.00
(b)	NON RESIDENT INDIANS (REPAT)	3	810	810	0.0140	0.0140	0	0.00
(c)	NON RESIDENT INDIANS (NON REPAT)	0	0	0	0.0000	0.0000	0	0.00
(v)	OVERSEAS BODIES CORPORATES	0	0	0	0.0000	0.0000	0	0.00
(vi)	PERSONS ACTING IN CONCERT	0	0	0	0.0000	0.0000	0	0.00
(vii)	ANY OTHERS(Specify)	0	0	0	0.0000	0.0000	0	0.00
<b>SUB TOTAL (B)(2) :</b>		<b>1584</b>	<b>1636800</b>	<b>1568179</b>	<b>28.2051</b>	<b>28.2051</b>	<b>0</b>	<b>0.00</b>
<b>Total Public Shareholding (B)=(B)(1) + (B)(2)</b>		<b>1584</b>	<b>1636800</b>	<b>1568179</b>	<b>28.2051</b>	<b>28.2051</b>	<b>0</b>	<b>0.00</b>
<b>Total (A) + (B) :</b>		<b>1593</b>	<b>5803200</b>	<b>5734579</b>	<b>100.0000</b>	<b>100.0000</b>	<b>0</b>	<b>0.00</b>
<b>(C) Shares held by Custodians and against which Depository Receipts have been issued</b>								
(i)	Promoter and Promoter Group	0	0	0	0.0000	0.0000	0	0.00
(ii)	Public	0	0	0	0.0000	0.0000	0	0.00
<b>SUB TOTAL (C)(1) :</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0.0000</b>	<b>0</b>	<b>0.00</b>

**Grand Total (A) + (B) + (C)**      **1593**      **5,803,200.00**      **5734579**      **100.0000**      **100.0000**      **0**      **0.00**

NOTES :

1) NAME,NUMBER OF SHARES HELD & PERCENTAGE OF ENTITIES / PERSONS HOLDING MORE THAN 1% OF THE TOTAL SHARES OF THE COMPANY IS AS PER ANNEXURE

Statement showing Shareholding of persons belonging to the category  
**“Promoter and Promoter Group”**

As on : 31/12/2011

Sr.No	Name of the Shareholder	Total shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		
		Number of shares	As a % of grand total (A)+(B)+(C)	Number	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)* 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	RADHIKA DIPAN MEHTA	2010000	34.6361	0	0.00	0.00	0	0	0	0	0
2	VINA ANIL MEHTA	849300	14.6350	0	0.00	0.00	0	0	0	0	0
3	ANIL DWARKADAS MEHTA	728700	12.5569	0	0.00	0.00	0	0	0	0	0
4	TAPAN ANIL MEHTA	424800	7.3201	0	0.00	0.00	0	0	0	0	0
5	DIPAN MEHTA	90000	1.5509	0	0.00	0.00	0	0	0	0	0
6	DIPAN ANIL MEHTA	34100	0.5876	0	0.00	0.00	0	0	0	0	0
7	AXIS EQUITIES PRIVATE LIMITED	29100	0.5014	0	0.00	0.00	0	0	0	0	0
8	RENUKA JITENDRA MEHTA	300	0.0052	0	0.00	0.00	0	0	0	0	0
9	RADHIKA DIPAN MEHTA	100	0.0017	0	0.00	0.00	0	0	0	0	0
<b>TOTAL :</b>		<b>4166400</b>	<b>71.7949</b>	<b>0</b>	<b>0.00</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

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Statement showing Shareholding of persons belonging to the  
category "Public" and holding more than 1% of the total number of

As on : 31/12/2011

Sr.No	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A) +(B)+(C) indicated in Statement at para (I) (a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	BHAVANA GOVINDBHAI DESAI	500000	8.6159	0	0	0	0	0
2	SUDARSHAN SECURITIES PRIVATE LIMITED	400000	6.8927	0	0	0	0	0
3	MUKESH CHIMANLAL PATANI	100000	1.7232	0	0	0	0	0
4	VIREN RAHEJA	75000	1.2924	0	0	0	0	0
5	NIRAL VIJAY SHAH	60502	1.0426	0	0	0	0	0
<b>TOTAL :</b>		<b>1135502</b>	<b>19.5668</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

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Statement showing details of locked-in shares

AS ON : 31/12/2011

Sr No	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	RADHIKA DIPAN MEHTA	2000000	34.46
2	SUDARSHAN SECURITIES PRIVATE LIMITED	300000	5.17
3	BHAVANA GOVINDBHAI DESAI	500000	8.62
<b>TOTAL:</b>		<b>2800000</b>	<b>48.2492</b>

**Statement showing details of Depository Receipts (DRs)**

Sr.No.	Type of Outstanding DR (ADRs,GDRs,SDRs etc.)	No of Outstanding DRs.	No of Shares underlying outstanding DRs.	Shares underlying outstanding DRs as a Percentage of total number of shares (i.e Grand Total (A)+ (B) + (C) Indicated in statement at para(I) (a) above)
1	GDRs			
TOTAL :				

(II) (b) Statement showing holding of Depository Receipts (DRs) , where underlying shares held by 'promoter / promoter group ' are in excess of 1% of the total number of shares.

Sr.No.	Name of the DR Holder	Type of Outstanding DR (ADRs,GDRs,SDRs etc.)	No.of Shares underlying outstanding DRs.	Shares underlying outstanding DRs.as a Percentage of total number of shares (i.e Grand Total (A)+(B)+(C) Indicated in statement at Para (I) (a) above)
1				
TOTAL :				

## AXIS CAPITAL MARKETS (I) LTD

Statement Showing Share Holding Of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

AS ON : 31/12/2011

SR.NO	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A) +(B)+(C) indicated in Statement at para (I) (a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	BHAVANA GOVINDBHAI DESAI	500,000.00	8.62	0	0	0	0	0
2	SUDARSHAN SECURITIES PRIVATE LIMITED	400,000.00	6.89	0	0	0	0	0
<b>TOTAL :</b>		<b>900,000.00</b>	<b>8.62</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

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