

Name of the Company : AXIS CAPITAL MARKETS (I) LTD

Script Code,Name of the scrip, class of security :

Quarter ended : 30/09/2011

Partly paid-up shares :-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/ promoter group		0.00	0.00
Held by public		0.00	0.00
Total	0	0.00	0.00
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/ promoter group		0.00	0.00
Held by public		0.00	0.00
Total	0	0.00	0.00
Warrants	No. of Warrants	As a % of total no.of Warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/ promoter group		0.00	0.00
Held by public		0.00	0.00
Total	0	0.00	0.00
Total paid-up capital of the company, assuming full conversion of warrants convertible securities Total (A+B+C)+ D+E+F)	5803200		100.00

□

Statement Showing Shareholding Pattern

Category Code	Category of Shareholder	Number of Shareholders	Total Number Of Shares	Number of Shares held in Dematerialised form	Total Shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a Percentage of (A+B)	As a Percentage of (A+B+C)	Number of shares	As a percentage
					(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV) *100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV) *100

(A) Shareholding of Promoter and Promoter Group2

1. INDIAN								
(a)	INDIVIDUAL / HUF	9	4166400	4166400	71.7949	71.7949	0	0.00
(b)	CENTRAL / STATE GOVERNMENT(S)	0	0	0	0.0000	0.0000	0	0.00
(c)	BODIES CORPORATE	0	0	0	0.0000	0.0000	0	0.00
(d)	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0.0000	0.0000	0	0.00
(e)	ANY OTHERS (Specify)							
(i)	DIRECTORS / RELATIVES	0	0	0	0.0000	0.0000	0	0.00
(ii)	GROUP COMPANIES	0	0	0	0.0000	0.0000	0	0.00
SUB TOTAL (A)(1) :		9	4166400	4166400	71.7949	71.7949	0	0.00
2. FOREIGN								
(c)	ANY OTHERS (Specify)	0	0	0	0.0000	0.0000	0	0.00
(a)	INDIVIDUAL	0	0	0	0.0000	0.0000	0	0.00
(b)	BODIES CORPORATE	0	0	0	0.0000	0.0000	0	0.00
(c)	INSTITUTIONS	0	0	0	0.0000	0.0000	0	0.00
(d)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.0000	0.0000	0	0.00
SUB TOTAL (A)(2) :		0	0	0	0.0000	0.0000	0	0.00
Total holding for promoters (A)=(A)(1) + (A)(2)		9	4166400	4166400	71.7949	71.7949	0	0.00

(B) Public shareholding

1. INSTITUTIONS								
(a)	MUTUAL FUNDS / UTI	0	0	0	0.0000	0.0000	0	0.00
(b)	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0.0000	0.0000	0	0.00
(c)	CENTRAL / STATE GOVERNMENT(S)	0	0	0	0.0000	0.0000	0	0.00
(d)	VENTURE CAPITAL FUNDS	0	0	0	0.0000	0.0000	0	0.00
(e)	INSURANCE COMPANIES	0	0	0	0.0000	0.0000	0	0.00
(f)	FII'S	0	0	0	0.0000	0.0000	0	0.00
(g)	FOREIGN VENTURE CAPITAL INVESTORS	0	0	0	0.0000	0.0000	0	0.00

Statement Showing Shareholding Pattern

Table (I)(a)								
Category Code	Category of Shareholder	Number of Shareholders	Total Number Of Shares	Number of Shares held in Dematerialised form	Total Shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a Percentage of (A+B)	As a Percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV) *100
(h)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.0000	0.0000	0	0.00
(i)	ANY OTHERS (Specify)	0	0	0	0.0000	0.0000	0	0.00
SUB TOTAL (B)(1) :		0	0	0	0.0000	0.0000	0	0.00
B 2. Non-institutions								
(a)	BODIES CORPORATE	61	496996	496896	8.5642	8.5642	0	0.00
(b)	INDIVIDUAL							
(i)	(CAPITAL UPTO TO Rs. 1 Lakh)	1502	379153	310032	6.5335	6.5335	0	0.00
(ii)	(CAPITAL GREATER THAN Rs. 1 Lakh)	6	759459	759459	13.0869	13.0869	0	0.00
(c)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.0000	0.0000	0	0.00
(d)	ANY OTHERS (Specify)							
(i)	TRUSTS	0	0	0	0.0000	0.0000	0	0.00
(ii)	CLEARING MEMBER	4	368	368	0.0063	0.0063	0	0.00
(iii)	DIRECTORS / RELATIVES	0	0	0	0.0000	0.0000	0	0.00
(iv)	EMPLOYEE	0	0	0	0.0000	0.0000	0	0.00
(v)	NON RESIDENT INDIANS (NRI)	4	824	824	0.0142	0.0142	0	0.00
(vi)	OVERSEAS BODIES CORPORATES	0	0	0	0.0000	0.0000	0	0.00
SUB TOTAL (B)(2) :		1577	1636800	1567579	28.2051	28.2051	0	0.00
Total Public Shareholding (B)=(B)(1) + (B)(2)		1577	1636800	1567579	28.2051	28.2051	0	0.00
Total (A) + (B) :		1586	5803200	5733979	100.0000	100.0000	0	0.00
(C) Shares held by Custodians and against which Depository Receipts have been issued								
(i)	Promoter and Promoter Group	0	0	0	0.0000	0.0000	0	0.00
(ii)	Public	0	0	0	0.0000	0.0000	0	0.00
SUB TOTAL (C)(1) :		0	0	0	0.0000	0.0000	0	0.00

NOTES :

1) NAME, NUMBER OF SHARES HELD & PERCENTAGE OF ENTITIES / PERSONS HOLDING MORE THAN 1% OF THE TOTAL SHARES OF THE COMPANY IS AS PER ANNEXURE

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category **"Promoter and Promoter Group"**

Sr.No	Name of the Shareholder	Details of shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
		Number of shares held	As a % of grand total (A)+(B)+(C)	Number	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held		As a % total number of convertible securities of the same class
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	RADHIKA DIPAN MEHTA	2010000	34.6361	0	0.00	0.00	0	0	0	0	0
2	VINA ANIL MEHTA	849300	14.6350	0	0.00	0.00	0	0	0	0	0
3	ANIL DWARKADAS MEHTA	728700	12.5569	0	0.00	0.00	0	0	0	0	0
4	TAPAN ANIL MEHTA	424800	7.3201	0	0.00	0.00	0	0	0	0	0
5	DIPAN MEHTA	90000	1.5509	0	0.00	0.00	0	0	0	0	0
6	DIPAN ANIL MEHTA	34100	0.5876	0	0.00	0.00	0	0	0	0	0
7	AXIS EQUITIES PRIVATE LIMITED	29100	0.5014	0	0.00	0.00	0	0	0	0	0
8	RENUKA JITENDRA MEHTA	300	0.0052	0	0.00	0.00	0	0	0	0	0
9	RADHIKA DIPAN MEHTA	100	0.0017	0	0.00	0.00	0	0	0	0	0
TOTAL :		4166400	71.7949	0	0.00	0.0000	0	0	0	0	0

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

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(l)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.

Sr.No	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A) +(B)+(C) indicated in Statement at para (I) (a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	BHAVANA GOVINDBHAI DESAI	500000	8.6159	0	0	0	0	0
2	SUDARSHAN SECURITIES PRIVATE LIMITED	400000	6.8927	0	0	0	0	0
3	MUKESH CHIMANLAL PATANI	100000	1.7232	0	0	0	0	0
4	VIREN RAHEJA	75000	1.2924	0	0	0	0	0
5	NIRAL VIJAY SHAH	60502	1.0426	0	0	0	0	0
TOTAL :		1135502	19.5668	0	0	0	0	0

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AXIS CAPITAL MARKETS (I) LTD

(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company.

Sr.No	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A) +(B)+(C) indicated in Statement at para (I) (a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	BHAVANA GOVINDBHAI DESAI	500000	8.62	0	0	0	0	0
2	SUDARSHAN SECURITIES PRIVATE LIMITED	400000	6.89	0	0	0	0	0
TOTAL :		900000	15.51	0	0	0	0	0

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(I)(d)

Statement showing details of locked-in shares

AS ON : 30/09/2011

Sr No	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	RADHIKA DIPAN MEHTA	2000000	34.46
2	SUDARSHAN SECURITIES PRIVATE LIMITED	300000	5.17
3	BHAVANA GOVINDBHAI DESAI	500000	8.62
TOTAL:		2800000	48.2492

(II)(a)

Statement showing details of Depository Receipts (DRs)

Sr.No.	Type of Outstanding DR (ADRs,GDRs,SDRs etc.)	No of Outstanding DRs.	No of Shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total number of shares {i.e Grand Total (A)+ (B) + (C) indicated in Statement at para(I) (a) above}
1	GDRs			
TOTAL :				

(II) (b) Statement showing holding of Depository Receipts (DRs) , where underlying shares held by "promoter / promoter group" are in excess of 1% of the total number of shares.

Sr.No.	Name of the DR Holder	Type of Outstanding DR (ADRs,GDRs,SDRs etc.)	No.of Shares underlying outstanding DRs.	Shares underlying outstanding DRs.as a percentage of total number of shares {i.e Grand Total (A)+(B)+(C) indicated in Statement at para (I) (a) above}
1				
TOTAL :				